



Texas State Securities Board

P.O. BOX 13167 AUSTIN, TEXAS 78711

CERTIFICATE OF REGISTRATION

THE SECURITIES COMMISSIONER HEREBY CERTIFIES THAT THE COMPANY AND/OR INDIVIDUAL NAMED BELOW IS REGISTERED AS

AN INVESTMENT ADVISER

RICK FERRI, LLC
606 APACHE MOUNTAIN LANE
GEORGETOWN, TX 78633 5434

File #: 51062
Expiration date: 12/31/2019

Designated officer: RICHARD ALAN FERRI

A handwritten signature in black ink that reads "Travis J. Iles".

TRAVIS J. ILES
SECURITIES COMMISSIONER

Texas investor complaint hotline 1-888-663-0009

Certificate generated on: 03/12/2019

WARNING: IT IS A CRIMINAL OFFENSE TO FALSIFY INFORMATION CONTAINED IN A CERTIFICATE OF REGISTRATION ISSUED BY THE STATE SECURITIES BOARD; USE SUCH A RECORD WITH KNOWLEDGE OF ITS FALSITY; OR MAKE A FALSE STATEMENT CONCERNING ANY REGISTRATION MADE UNDER THE PROVISIONS OF THE TEXAS SECURITIES ACT.